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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration  
Submitted  
With Initial  
Filing

OR

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

DB001089-000

First Named Inventor

Taylor et al.

COMPLETE IF KNOWN

Application Number

Not yet assigned

Filing Date

Art Unit

Not yet assigned

Examiner Name

Not yet assigned

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MULTI-FUNCTION SOLID OXIDE FUEL CELL BUNDLE AND METHOD OF MAKING THE SAME

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

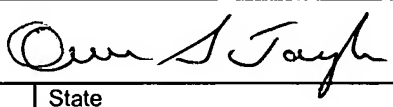
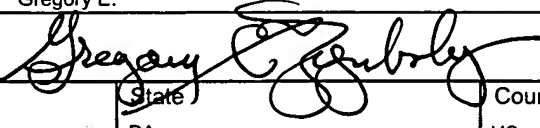
[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: <span style="border: 1px solid black; padding: 2px 20px;">24122</span> <b>OR</b> <input type="checkbox"/> Correspondence address below			
Name			
Address			
City		State	
Country		ZIP	
Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
<b>NAME OF SOLE OR FIRST INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Owen S.		Family Name or Surname Taylor	
Inventor's Signature 			Date 3/17/04
Residence: City Acme	State PA	Country US	Citizenship US
Mailing Address 188 Sycamore Road			
City Acme	State PA	ZIP 15610	Country US
<b>NAME OF SECOND INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) Gregory E.		Family Name or Surname Zymboly	
Inventor's Signature 			Date 3/17/04
Residence: City Murrysville	State PA	Country US	Citizenship US
Mailing Address 4003 Pin Oak Court			
City Murrysville	State PA	ZIP 15668	Country US
<input type="checkbox"/> Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**37 C.F.R. § 3.73(b) Statement**

Applicants	Title	Serial No.	Filing Date
Taylor, et al.	MULTI-FUNCTION SOLID OXIDE FUEL CELL BUNDLE AND METHOD OF MAKING THE SAME		

**Pittsburgh Electric Engines, Inc.** is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the parent of the patent application identified above. The assignment was recorded in the United States Patent and Trademark office on \_\_\_\_\_ at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office on \_\_\_\_\_ at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

**Power of Attorney**

I hereby revoke all previous powers of attorney, if any, and appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Edward L. Pencoske    Reg. No. 29,688  
Jenifer S. Tarasi      Reg. No. 46,064

Richard J. Coldren    Reg. No. 44,084  
Abhijat Parikh        Reg. No. 49,086

**Please Direct All Correspondence to:**

**Richard J. Coldren, Esquire**  
**Thorp Reed & Armstrong**  
**One Oxford Centre**  
**301 Grant Street, 14<sup>th</sup> Floor**  
**Pittsburgh, PA 15219-1425**

**Declaration**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R. § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

Date

3/17/04

Signature

Owen S. Taylor

Name

Owen S. Taylor

Title

President

**COPY**

**PATENT**

Attorney's Docket No. DB001089-000

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
      ☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☒ PCT Application  
By: ☒ Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**

Owen S. Taylor  
(type or print name of inventors)

188 Sycamore Road  
Acme, PA 15610 U.S.  
Address Nationality

Gregory E. Zymboly  
(type or print name of inventors)

4003 Pin Oak Court  
Murrysville, PA 15668 U.S.  
Address Nationality

hereby sells, assigns and transfers to

**ASSIGNEE:**

Pittsburgh Electric Engines, Inc.  
(type or print name(s) of ASSIGNEE(S))

202 West 2<sup>nd</sup> Street, Suite 100  
P.O. Box 272  
Derry, PA 15627  
Address

\_\_\_\_\_  
Nationality

**COPY**

and the successors, assigns and legal representatives of the ASSIGNEE

*(complete one of the following)*

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest  
for the United States and its territorial possession

*(check the following box, if foreign rights are also to be assigned)*

☒ and in all foreign countries, including all rights to claim priority,  
in and to any and all improvements which are disclosed in the invention entitled:

MULTI-FUNCTION SOLID OXIDE FUEL CELL BUNDLE AND METHOD OF MAKING THE  
SAME

*(check and complete (a), (b), (c), (d), (e), (f) or (g))*

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-  
entitled invention.  
☐ Express mail label no. \_\_\_\_\_  
Mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this  
assignment, I, an ASSIGNOR signing below,  
hereby authorize and request my attorney to  
insert below the filing date and application  
number when they become known.  
(d) ☐ U.S. application no. \_\_\_\_\_ filed on \_\_\_\_\_  
(e) ☐ International application no. \_\_\_\_\_ filed on \_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent  
regarding patent maintenance fees is being sent separately.

*(also check (g), if foreign application(s) is also being assigned)*

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to  
claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or  
any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or  
re-examination thereof

# COPY

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letter Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

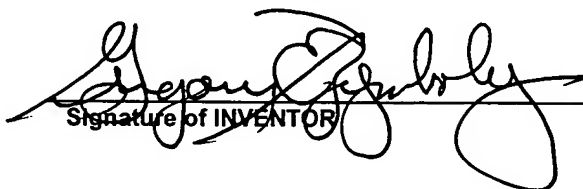
IN WITNESS WHEREOF, We have hereunto set hand and seal this 3/17/04  
Date of Signing

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Owen S. Taylor  
(type name of inventor)

  
Signature of INVENTOR

Gregory E. Zymboly  
(type name of inventor)

  
Signature of INVENTOR

☐ Notarization or Legalization Page Added.

**Note:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.